

Patent Application of

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for

**METHODS AND PHARMACEUTICAL PREPARATIONS FOR NORMALIZING BLOOD  
PRESSURE WITH (-)-HYDROXYCITRIC ACID**

**Provisional Patent Application filing:**

This application is entitled to the benefit of Provisional Patent Application Ser. #60/181,285 filed 2000 February 9, "Methods And Pharmaceutical Preparations For Normalizing Blood Pressure With (-)-Hydroxycitric Acid"

**BACKGROUND OF THE INVENTION**

**1. Field Of The Invention**

The invention is directed toward the use of (-)-hydroxycitric acid, especially as potassium (-)-hydroxycitrate, a preferred salt of (-)-hydroxycitric acid, to reduce ("normalize") elevated blood pressure in individuals in need thereof.

**2. Description Of Prior Art**

Hypertension is defined as an average or sustained systolic blood pressure over 140 mm Hg and/or a diastolic blood pressure over 90 mm Hg. Hypertension has an overall incidence of 20%, with onset usually occurring after age 20. The prevalence rises with age to over 50% past age 65. Ninety-five to 99% of hypertensive individuals have essential hypertension. Persons with hypertension are three to four times more likely to experience a major cardiovascular event (e.g., myocardial infarction, cerebrovascular accident, congestive heart failure) than those without.

Essential, or primary, hypertension is often said to have no identifiable cause. However, this belies the fact that risk factors long have been identified. Hypertension is more common in African Americans at all ages and in persons from lower socioeconomic groups. Individual risk factors include family history, excessive alcohol consumption, high sodium intake, stress,

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sedentary lifestyle, obesity and a high intake of sugars (sucrose, fructose, glucose, etc.)

Hypertension is one of the disorders which are linked to insulin resistance and elevated insulin levels. Hypertension is also linked to the excessive production of "stress hormones," such as cortisol and corticosterone. Long-term stress is known to elevate aldosterone levels and thereby to increase sodium retention, a source of hypertension.

The various drug therapies available to treat hypertension have many drawbacks and preponderantly are unsatisfactory in cases of mild to moderate symptoms. Drug therapy is recommended for patients with sustained systolic pressure over 160 mm Hg or diastolic pressure over 100 mm Hg. Traditionally, therapy with a diuretic or beta-blocker is tried first. The dosage may be modified or an additional drug from another class may be added. Ten percent of patients may require three drugs. Diuretics—e.g., hydrochlorothiazide (Hydrodiuril; 12.5 to 50 mg/day)—have side effects which include a decreased level of potassium and increased cholesterol and glucose levels; contraindicated in patients with gout and diabetes. Potassium-sparing agents—e.g., spironolactone (Aldactazide; 25 to 100 mg/day)—have side effects which include hyperkalemia and gynecomastia.

Numerous other classes of hypotensive drugs presently are in use. Most cause a variety of undesirable effects. Alpha-blockers—e.g., doxazosin (Cardura; 1 to 20 mg/day)—have side effects which include postural hypotension and lassitude. Beta-blockers—e.g., acebutolol (Sectral; 200 to 800 mg/day)—have side effects which include congestive heart failure, bronchospasm, the masking of hypoglycemia induced by insulin, depression, insomnia and fatigue; these are contraindicated relatively in heart failure, airway disease, heart block, diabetes, and peripheral vascular disease. Alpha/beta blockers—e.g., labetalol (Normodyne; 200 to 1,200 mg/day in two doses)—have side effects which include postural hypotension and beta-blocker side effects. Centrally acting sympatholytics—e.g., methyldopa (Aldomet; 500 to 3,000 mg/day in two doses)—have side effects which include hepatic disorders, sedation and dry mouth. Peripherally acting sympatholytics—e.g., reserpine (Serpasil; 0.05 to 0.25 mg/day)—have side effects which include sedation and depression. Calcium-channel blockers—e.g., verapamil (Isoptin; 90 to 480 mg/day)—have side effects which include constipation, nausea, headache and conduction defects; these must be used with caution in heart failure or blockage. Dihydropyridines—e.g., amlodipine (Norvase; 2.5 to 10 mg/day)—have side effects which

include flushing, headache and ankle edema. Direct vasodilators—e.g., hydralazine (Apresoline; 50 to 400 mg/day in two doses)—have side effects which include headache, tachycardia and lupus syndrome. Angiotensin-converting enzyme (ACE) inhibitors—e.g., benazepril (Lotensin; 5 to 40 mg/day)—have side effects which include cough, rash and loss of taste; these should be used with caution in renovascular disease.

Roughly one half of all patients who are treated for hypertension stop complying with their drug treatment regimens within the first year of therapy, with many stopping within the first three months. Only an estimated 50–74% of the U.S. populace with hypertension is presently receiving treatment and, within this category of treated patients, only 50% find that their hypertension is adequately controlled by drugs. Of the drugs popularly employed, compliance rates over the long term appear to be greatest with the angiotensin-converting enzyme (ACE) inhibitors, followed in succession by the calcium-channel blockers,  $\beta$ -blockers and the diuretics. (Benson S, Vance-Bryan K, Raddatz J. Time to patient discontinuation of antihypertensive drugs in different classes. *Am J Health Syst Pharm*. 2000 Jan 1;57(1):51-4.) Unfortunately,  $\beta$ -blockers definitely increase the risk of developing diabetes Type 2, and diuretics may similarly increase this risk. Both classes of drugs may increase insulin resistance, LDL-cholesterol and triglycerides. (Sowers JR, Bakris GL. Antihypertensive therapy and the risk of type 2 diabetes mellitus. *N Engl J Med*. 2000 Mar 30;342(13):969-70.) (Preuss HG, Burris JF. Adverse metabolic effects of antihypertensive drugs. Implications for treatment. *Drug Saf*. 1996 Jun;14(6):355-64.)

(-)-Hydroxycitric acid (abbreviated herein as HCA) a naturally-occurring substance found chiefly in fruits of the species of *Garcinia*, and several synthetic derivatives of citric acid have been investigated extensively in regard to their ability to inhibit the production of fatty acids from carbohydrates, to suppress appetite, and to inhibit weight gain. (Sullivan AC, Triscari J. Metabolic regulation as a control for lipid disorders. I. Influence of (-)-hydroxycitrate on experimentally induced obesity in the rodent. *American Journal of Clinical Nutrition* 1977;30:767.) Weight loss benefits were first ascribed to HCA, its salts and its lactone in United States Patent 3,764,692 granted to John M. Lowenstein in 1973. The claimed mechanisms of action for HCA, most of which were originally put forth by researchers at the pharmaceutical

firm of Hoffmann-La Roche, have been summarized in at least two United States Patents. In United States Patent #5,626,849 these mechanisms are given as follows: “(-) HCA reduces the conversion of carbohydrate calories into fats. It does this by inhibiting the actions of ATP-citrate lyase, the enzyme which converts citrate into fatty acids and cholesterol in the primary pathway of fat synthesis in the body. The actions of (-) HCA increase the production and storage of glycogen (which is found in the liver, small intestine and muscles of mammals) while reducing both appetite and weight gain. (-) Hydroxycitric acid also causes calories to be burned in an energy cycle similar to thermogenesis...(-) HCA also increases the clearance of LDL cholesterol....” United States Patent 5,783,603 further argues that HCA serves to disinhibit the metabolic breakdown and oxidation of stored fat for fuel via its effects upon the compound malonyl CoA and that gluconeogenesis takes place as a result of this action. The position that HCA acts to unleash fatty acid oxidation by negating the effects of malonyl CoA with gluconeogenesis as a consequence (McCarty MF. Promotion of hepatic lipid oxidation and gluconeogenesis as a strategy for appetite control. Medical Hypotheses 1994;42:215-225) is maintained in United States Patent 5,914,326. The gluconeogenesis expected by these authors would normally lead to an increase in insulin resistance, an increase in insulin levels and, as a consequence, an increase in the rate of hypertension which is strongly linked to insulin resistance and elevated insulin levels. Hyperinsulinemia is itself a pathological driving force in producing incipient obesity and incipient muscle insulin resistance. (Cusin I, Rohner-Jeanrenaud F, Terrettaz J, Jeanrenaud B. Hyperinsulinemia and its impact on obesity and insulin resistance. Int J Obes Relat Metab Disord. 1992 Dec;16 Suppl 4:S1-11.) In general, the higher the fasting plasma insulin levels, the more likely the presence of hypertension. (Preuss HG, Burris JF. Adverse metabolic effects of antihypertensive drugs. Implications for treatment. Drug Saf. 1996 Jun;14(6):355-64.)

Unknown in the scientific literature is the unusual and surprising ability of HCA to reduce elevated blood pressure. This is a normalizing effect, and there is no evidence nor is there any reason to suspect that the ingestion of HCA will induce low blood pressure in individuals whose blood pressure is already within the normal range. The benefits of HCA in reducing hypertension are especially pronounced in the preferred salt of the acid, potassium hydroxycitrate, and may be further potentiated by the use of a controlled-release form of the

compound. Moreover, the authors have found that HCA is a safe hypotensive. Its benefits appear gradually over the course of several weeks and do not seem to be the result of any direct manipulation of nitric oxide production nor of renal function (i.e., diuresis *per se* is not involved).

The proposed mechanisms by which HCA achieves its hypotensive effect are a reduction in blood insulin levels, a reduction in corticosterone (stress hormone) levels and a long term reduction in the levels of the mineralocorticoids, as well. It is well established that chronic stress leads to chronically elevated levels of glucocorticoids (predominantly cortisol in humans and corticosterone in rodents) and that elevations of aldosterone ultimately follow. The glucocorticoids contribute to insulin resistance by simultaneously promoting both gluconeogenesis (glucose production from noncarbohydrate sources) and lipolysis (the release of free fatty acids) while slowing the oxidation of glucose and sacrificing lean tissue as a source of gluconeogenic precursors, i.e., the chronic elevation of glucocorticoids ultimately is catabolic in its impact upon lean tissues. Elevated blood glucose concentrations are an accepted result of these actions. The compensatory release of mineralocorticoids, such as aldosterone, in response to the chronic elevation of glucocorticoid levels increases sodium retention, and hence blood pressure. All of these factors are known causes of elevated blood pressure.

Quite surprisingly, this effect of HCA has never been mentioned in the literature on the topic. Indeed, those authorities who hold that HCA is gluconeogenic in its actions and primarily useful for increasing ketogenesis typically view it as potentially raising blood sugar levels rather than reducing them and thereby also likely to increase insulin levels. The implied consequence is an increased risk of hypertension. The original pharmaceutical research on HCA performed at Hoffman-La Roche failed to find significant changes in either blood glucose levels or blood insulin levels, undoubtedly in large part due to the fact that almost all of that research used diets which consisted mostly of glucose (e.g., 70% glucose diets were typically employed to encourage lipogenesis). The conclusion of the Roche researchers was that "no significant differences in plasma levels of glucose, insulin, or free fatty acids were detected in (–)-hydroxycitrate-treated rats relative to controls. These data suggest that peripheral metabolism, defined in the present context as metabolite flux, may be involved in appetite regulation..." (Sullivan, Ann C. and Joseph Triscari. Possible interrelationship between metabolite flux and appetite. In D. Novin, W. Wyriwicka and G. Bray, eds., *Hunger: Basic Mechanisms and Clinical Implications* (New York:

Raven Press,1976) 115-125.)

There is evidence from animal studies, but not from any good human study, that ingested HCA will lower cholesterol blood lipids levels, but much less attention has been paid to free fatty acids. It is known that high levels of circulating free fatty acids are often related to insulin resistance and thereby to elevated blood pressure. Paradoxically for some theories of hypertension, HCA may exercise no effect on or actually increase free fatty acid levels (Ishihara K, Oyaizu S, Onuki K, Lim K, Fushiki T. Chronic (-)-hydroxycitrate administration spares carbohydrate utilization and promotes lipid oxidation during exercise in mice. J Nutr. 2000 Dec;130(12):2990-5) despite the fact, as the inventors have discovered, that it lowers insulin levels and blood pressure. And, indeed, the issue of blood pressure appears to not be a part of discussions of the effects of HCA. (McCarty MF. Toward a wholly nutritional therapy for type 2 diabetes. Med Hypotheses 2000 Mar;54(3):483-487; United States Patent 6,113,949; United States Patent 5,914,326; United States Patent 5,783,603; United States Patent 5,626,849.)

Only the potassium and sodium salts of HCA are absorbed well enough to be effective agents at tolerable levels of ingestion. Reasons for this are given in the inventors' copending U.S. Patent Application "Potassium (-)-Hydroxycitric Acid Methods For Pharmaceutical Preparations For Stable And Controlled Delivery." Derivatives of HCA may also be active and effective in this regard. (United States Patents 3,993,668; 3,919,254; 3,767,678.) The calcium salt of HCA is extremely widely sold in the United States in dosages ranging up to more than 12 grams per day (providing roughly 6 grams of HCA), and yet there are no reports in the literature of this salt being useful as a hypotensive agent. Liquid forms of HCA currently in use are irritating to the digestive system, depending upon the dose, and may cause distress when used to achieve hypotensive or other purposes. Researchers have found that animals fed high doses of the liquid form of the compound exhibit stress behavior. (Ishihara K, Oyaizu S, Onuki K, Lim K, Fushiki T. Chronic (-)-hydroxycitrate administration spares carbohydrate utilization and promotes lipid oxidation during exercise in mice. J Nutr. 2000 Dec;130(12):2990-5.) Similarly, the ethylenediamine salts of HCA used in much of the research performed by Hoffman-La Roche are known to be irritating and even toxic, properties which are due to the ethylenediamine ligand and not to the HCA.

In contrast to the quite limited efficacy found with the calcium salt and some other delivery forms of HCA, the impact of ingestion of appropriate amounts of the appropriate salts of HCA upon subjects with elevated blood pressure has been positive, as has the impact upon insulin levels and corticosterone levels in diets which calorically are not almost exclusively composed of sugars. No prior art suggests this beneficial effect of HCA upon blood pressure, insulin levels or stress hormone levels.

### **SUMMARY OF THE INVENTION**

The inventors have discovered that HCA is useful in normalizing elevated blood pressure. This action by HCA has not heretofore been recognized. The benefits of HCA in reducing hypertension are especially pronounced in the preferred salt of the acid, potassium hydroxycitrate, and may be further potentiated by the use of a controlled-release form of the compound. The discovery that HCA has blood pressure moderating effects allows the creation of novel and more efficacious approaches to controlling mild hypertension, a condition which is otherwise not suitably treated through drug intervention. It may further offer an avenue by which individuals suffering from more severe hypertension may reduce the degree of drug intervention required (with its numerous side effects) or even be weaned from other medications entirely. The discovery that HCA can reduce the inappropriate release of stress hormones and reduce excessive insulin levels makes this compound especially useful for those populations which suffer from salt-sensitive hypertension and those individuals who suffer from insulin resistance due to chronic hyperinsulinemia. HCA is effective at a daily dosage (divided) of between 750 mg and 10 grams, preferably at a dosage of between 3 and 6 grams.

### **Objects and Advantages**

It is an objective of the present invention to provide a method of for treating or ameliorating hypertension by providing a means of reducing elevated blood pressure levels. It is a further object of the present invention to provide a means of stabilizing blood pressure by ameliorating two of the primary causes of hypertension, that is, elevated insulin levels and elevated stress hormone levels. It is yet a further advantage of the present invention to provide a means—one

which is accompanied by few or no side effects—of reducing elevated blood pressure. Knowledge of the present invention has the advantage of allowing the use of forms of (–)-hydroxycitric acid as hypotensive drugs, including especially through controlled release formulations. A further advantage of the present invention is to allow the employment of effective amounts and forms of HCA for reducing elevated blood pressure such that one extremely common drawback of presently available hypotensive drugs—early and widespread discontinuation of usage by patients—can be overcome.

### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The free acid form and various salts of (–)-hydroxycitric acid (calcium, magnesium, potassium and sodium) have been available commercially for several years. Any of these materials can be used to fulfill the invention revealed here, but with varying degrees of success. For reasons given in our copending U.S. Patent Application "Potassium (–)-Hydroxycitric Acid Methods For Pharmaceutical Preparations For Stable And Controlled Delivery," these materials are generally useful in this descending order of efficacy: potassium salt, sodium salt, free acid, magnesium salt, calcium salt. A novel method for improving the efficacy and workability of these forms is provided in that application. Exact dosing will depend upon the form of HCA used, the weight of the individual involved, and the other components of the diet. In part due to the need to control the release of this hypoglycemic agent in diabetics, as outlined in the inventors' recently issued United States Patent covering the employment of HCA as hypoglycemic agent, a controlled release preparation is to be preferred. Controlled release can also be expected to improve results by aiding in maintaining a sustained exposure to the drug as required for therapy.

The previously patented hydroxycitric acid derivatives (mostly amides and esters of hydroxycitric acid, the patents for which are now expired, to wit, United States Patents 3,993,668; 3,919,254; and 3,767,678) likely are roughly equivalent to the HCA sodium salt in efficacy and can be applied as hypotensive agents as taught herein by one skilled in the art. However, for the purposes of reducing stress hormone levels and blood pressure, hydroxycitric acid in its free acid form and in its lactone form may prove to be the least desirable of currently available forms of the compound. These forms are irritating to the gastrointestinal tract and thus,



in higher dosages, may lead to elevations in stress response. Similarly, hydroxycitric acid in its free acid form and in its lactone form are less desirable for long term use due to their ability to chelate minerals and thereby perhaps lead to mineral loss.

#### EXAMPLE 1

Blood pressure data was acquired from a multi-week pilot open clinical weight loss trial with extremely obese patients which was undertaken to gauge the effects of a pouch delivery form of a potassium salt of (-)-hydroxycitrate under the normal circumstances faced in clinical practice with this patient population. Sixteen patients were enrolled, three of whom were diabetics on medications or insulin. Several others were suspected of suffering from insulin resistance. The patients ingested 3 - 4 grams of HCA per day in two divided doses. Aside from being informed that they must eat a carbohydrate-containing meal within one hour of taking the HCA and that they should avoid eating late in the day, they were not instructed to follow any special diet or exercise plan outside their normal habits and no caloric restriction was imposed. This particular form of potassium (-)-hydroxycitrate delivery typically was mixed into water or juice and consumed at mid-morning and mid-afternoon. The delivery was a water-soluble immediate release form. It was a pre-commercial preparation and nearly all of the patients complained regarding the inconvenience and poor taste of the product, albeit there were no other issues of tolerability. A number of patients continued on the program for 6 weeks. However, most patient data was good for only 3 weeks because two of the diagnosed diabetics experienced severe hypoglycemic reactions. Several other patients experienced good appetite suppression, yet also complained of episodic tiredness at the beginning of the program, a sign of low blood sugar. Two patients subsequently were placed on phentermine. One patient who followed the program for 10 weeks with excellent weight loss (32 pounds over 10 weeks) found that his tendency toward elevated blood sugar was stabilized during the program. This patient returned to his prior experiences of infrequent hypoglycemia roughly one week after he had left the program, something which suggests a carryover effect from the compound. The average weight loss over the 3 week period for these 14 patients was approximately 3.1 pounds per person per week. The clinical decision was made that potassium (-)-hydroxycitrate in an immediate release format can exercise a strong hypoglycemic effect in diabetics and that it appears to influence

blood sugar levels in protodiabetics, as well. At therapeutically effective dosages, HCA probably should be used with diabetic populations only under a physician's care.

Along with the hypoglycemic effect of the potassium hydroxycitrate, a quite surprising finding was that blood pressure levels in eight patients began to normalize rapidly with this treatment. Elevated blood pressure is common with obese and insulin-resistant patients, and therefore it was unremarkable that in this patient population (ages ranging roughly 30 to 60) that almost one half suffered from hypertension. Following are the readings for the eight hypertensive patients. Many of these patients had been advised to lower salt intake and to increase potassium and magnesium intake. Nevertheless, these results are so striking as to be unlikely limited to minor dietary modifications and a short-term increased intake of potassium and magnesium.

	<u>Starting Blood Pressure</u>	<u>Ending Blood Pressure</u>
Patient #1	150/88	138/70 (wk 2)
Patient #2	178/100	130/80 (wk 3)
Patient #3	130/98	120/72 (wk 9)
Patient #4	150/98	110/80 (wk 5)
Patient #5	140/78	126/80 (wk 3)
Patient #6	180/88	160/70 (wk 3)
Patient #7	120/80	120/70 (wk 6)
Patient #8	170/100	160/100 (wk 3)

## EXAMPLE 2

The results in Example 1 were unexpected from the published literature on HCA. Our clinical experience was that as little as 3 grams of HCA per day in divided doses in the form of potassium (–)-hydroxycitrate (5 grams of the salt) may exert a significant hypotensive effect which manifests over a period of one to several weeks. The available literature supplies no mechanisms by which to explain this effect.

Therefore, animal tests were scrutinized to determine if variations in serum levels of non-

esterified fatty acids (NEFA), insulin and corticosterone could explain the novel findings. Ten-week old male rats were placed on a moderate fat diet (30% of calories, which is a high-fat diet for rats) for 60 days. These rats were fed water or potassium hydroxycitrate (0.33 mmols/kg) twice daily by gastric intubation, but were otherwise provided with food and water *ad libitum*. Five animals were used as controls and two different formulas of potassium hydroxycitrate were provided to five animals each. At the termination of the trial, data was collected as summarized in the following table. The differences between control (5 data points) and active (10 data points) were then analyzed according to the student's T test. There was no significant difference between control and the HCA groups with regard to NEFA. However, insulin levels in the animals given HCA were significantly lower than in controls, with a one-tailed P value of 0.0306; in the two-tailed test, P was just short of significance at 0.0612 because of the small number of animals. Corticosterone levels (essentially equivalent to cortisol—the “stress” hormone—levels in humans) were very significantly lower in the HCA groups than in control, with a one-tailed P value of 0.0013 and a two-tailed P value of 0.0026. Both of these factors could play a role in the clinical findings of lowered blood pressure in hypertensive individuals. Elevated insulin levels are known to be associated with hypertension. Glucocorticoids, similarly, are associated with hypertension through a variety of mechanisms, including sodium retention.

Group	NEFA mmol/L	Insulin ng/mL	Corticosterone ng/mL
Control	0.49	2.655	269.38
Control	0.33	7.077	497.87
Control	0.31	4.280	265.71
Control	0.29	9.425	209.54
Control	0.30	3.798	116.12
KHCA 1	0.31	3.880	45.79
KHCA 1	0.48	4.399	33.10
KHCA 1	0.32	3.181	65.57
KHCA 1	0.27	3.210	55.40
KHCA 1	0.33	3.639	84.62
KHCA 2	0.41	4.427	26.02
KHCA 2	0.41	4.301	270.83
KHCA 2	0.59	3.245	45.44
KHCA 2	0.37	3.695	45.63
KHCA 2	0.46	2.053	38.04

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